

General Information

Applicant Name: _____

Mailing Address: _____

Location Address (if different from above): _____

Website Address: _____

Date Business Started: _____

Has applicant changed names in the last five years? YES NO

If Yes, provide details: _____

Provide number of employees: Full-time _____ Part-time _____ Seasonal _____

Description of operations: _____

List all states the applicant operates in: _____

If insured works in NY State, what percent of work (if any) is in NYC 5 boroughs? _____

Program Eligibility

1. Enter the percentage of the risk's own payroll and/or sales that are generated from the following operations. Exclude work that the applicant subcontracts when determining eligibility percentages.

Percentages based on: Payroll or Sales

Grading of Land _____%

Excavation _____%

Irrigation or Drainage System Construction _____%

Driveway, Parking Lot or Sidewalk-Paving or Repaving _____%

Street or Road Construction _____%

Other: _____%

TOTAL: _____ 100 %

2. Does the risk communicate with the One-Call Service Center and the area utility owners that are not members of the One-Call Service Center prior to all scheduled excavation work? YES NO

3. Is the Risk a one-person operation with no employees? YES NO

4. Has the risk been cited for any OSHA violations in the last three years? YES NO

If YES, please explain: _____

5. Indicate the type of work the risk performs:

Commercial (includes apartments) _____% Residential _____% Industrial _____%

6. Does the insured follow the OSHA safety guidelines for excavation work that is 5 feet or deeper?

YES NO

7. Indicate percentage of:

New Construction _____% Repair / Remodeling / Maintenance _____%

8. If residential work is performed, please provide further breakdown of type of residential work:

Condos/Townhomes/Duplexes/Triplexes _____% Custom homes (non Tract) _____%

Tract housing (10 homes or less) _____% Tract housing (over 10 homes) _____%

9. Does the risk hire subcontractors?

YES NO

If YES, indicate percentage: _____%

Please describe what type of work is subbed out: _____

Does applicant obtain certificates of insurance from all subcontractors? YES NO

Does applicant require all subcontractors to carry primary liability insurance limits equal to or greater than their own? YES NO

Is the applicant named as an additional insured on all subcontractors' policies? YES NO

Does the applicant use written subcontractor agreements containing hold harmless/indemnity agreements in favor of the risk? YES NO

Indicate type of subcontractor agreements the risk typically signs.

Standard (AIA contracts): _____ Custom: _____

10. Does applicant ever take over the subcontracting work of an uncompleted project from another subcontractor at any at phase of construction?

YES NO

11. Does the applicant perform any demolition work?

YES NO

If YES, please describe: _____

12. Risk is operating as:

Subcontractor (You are a sub-contractor of a GC) _____%

Construction Contractor (Your contract is direct with the project owner) _____%

General Contractor (You hire multiple classes of subcontractors to perform work) _____%

13. Has the risk ever been involved as a GENERAL CONTRACTOR in the building of residential homes, condominiums, apartments, or townhouses in the past 10 years?

YES NO

If YES, please describe: _____

14. Any current or past involvement with wrap-up/OCIP?

YES NO

15. Does the risk have a written quality control program?

YES NO

If YES, please attach a copy with supplemental.

Applicable to Inland Marine

Confirm the storage location for all Inland Marine scheduled equipment.

Describe anti-theft controls when equipment is left on jobsite

Applicable to Automobile Coverage

Are all vehicles registered in the company name?

YES NO

Is the driving of PPTs limited to the owners and senior management?

YES NO

Are any company vehicles driven for personal use?

YES NO

Do any employees use their personal vehicle for work? How Many?

YES NO

Do you have any drivers under age of 21?

YES NO

Do any family members have access/use to the PPT? If yes, please provide drivers names, DOB & License #'s

YES NO

Where are company vehicles stored at night? If multiple locations, please provide breakout by location.

Identify all vehicles that have been modified with special equipment and provide the cost or value of each modification.

17. Enter the percentage of the risks own payroll and/or sales that emanate from the following operations:

Site prep including rough & finish grading _____%	Soil compaction _____%
Building site pad preparation _____%	Soil stabilization _____%
Foundation form construction _____%	Foundation design _____%
Concrete pouring for foundations _____%	Foundation pier ole drilling _____%

18. Does the risk have any future plans related to work involving condos, townhouses, tract homes, custom homes or homes of unusual design?

YES NO

If YES, please describe: _____

19. Has the risk ever been named in claims and/or litigation regarding faulty or defective construction (construction defect claims) or workmanship, including claims due to subsidence issues or use of EIFS? YES NO

If YES, please provide details on claims/litigation and how the issue was corrected: _____

20. Does risk have knowledge of any pre-existing act, omission event, condition or damages to any person or property that may potentially give rise to any future claim or legal action? YES NO

If YES, please describe: _____

21. Does risk perform any work involving street or road construction and/or water main, sewer, or pipeline construction? YES NO

Historical Exposure - General Liability

	Expiring Year	1 st Prior Year	2 nd Prior Year	3 rd Prior Year	4 th Prior Year
GL Premium	\$	\$	\$	\$	\$
GL Payroll	\$	\$	\$	\$	\$
Annual Receipts	\$	\$	\$	\$	\$

Historical Auto

	Expiring Year	1 st Prior Year	2 nd Prior Year	3 rd Prior Year	4 th Prior Year
Auto Premium	\$	\$	\$	\$	\$
# of Power Units					

Excavation Work

Is the route of excavation white lined before the utility locator arrives on site? YES NO

Does the risk do hand digging within 18 inches to 24 inches (depending on state regulations) from the center of the utility line? YES NO

Does the risk request new locates for excavations incurring extended time requirements (10 days or more) and following inclement weather? YES NO

Are photographs or videos taken before and after the excavation? YES NO

High Priority/Critical Jobs

The risk needs to ask the utility owner if this job is considered a high priority or critical job. Some job examples would be high pressure water or gas pipe, power transmission lines. In addition to the requirements noted above, does the risk:

Schedule a pre-excavation meeting on the job-site with the facility owner and prime contractor?	YES	NO
Utilize pot holing, air knives, or vacuum excavation techniques to verify utility locates?	YES	NO

Risk Management

Does the insured have a Full Time Safety Director?	YES	NO
Does the insured have a Part Time Safety Director?	YES	NO
Does the Safety Director have at least 3 years' experience working as a Safety Director?	YES	NO
Does the Safety Director oversee all daily operations?	YES	NO
Are all locations secured, fenced, locked with security systems?	YES	NO
Do you have any Telematics in place? If yes, include name of Telematic software used.	YES	NO

Do you conduct pre-employment drug testing?	YES	NO
Do you have a documented Safety Program?	YES	NO
Do you have tailgate/toolbox safety meetings?	YES	NO
Is there a formal fleet maintenance program in place?	YES	NO
Does insured obtain and review MVR's?	YES	NO

PLEASE INCLUDE THE FOLLOWING ITEMS ALONG WITH THIS SUPPLEMENTAL APPLICATION:

- Completed & Signed accord applications for lines of business to be quoted.
- 3 years plus 1 current year of currently valued, hard copy loss runs for all lines of business being requested.
- Loss runs should be valued within the past 90 days and include a brief description of all claims over \$10,000.
- If Automobile coverage has been submitted and over 20 drivers, please provide list of drivers in excel format, if available.
- If Automobile coverage has been submitted and over 20 units, please provide list of autos in excel format.
- Current financials will be required for all accounts that generate over \$100,000 in annual premium.
- Current job listing.

1. Does the risk get involved in any of the following operations?

Aerial work with Aircraft or Drones	YES	NO
Airport or runway work or air traffic control tower work	YES	NO
Asbestos, lead paint, mold, radon, underground or above ground storage tank or hazardous abatement or mediation work	YES	NO
Blasting operations and/or blasting for others	YES	NO
Bridge work and overpasses, including structural repair	YES	NO
Concrete mix in transit or concrete pumping	YES	NO
Condominium or townhouse conversion work	YES	NO
Conveyor work	YES	NO
Crane usage, rental, or hiring	YES	NO
Crane rental to others – with or without operators	YES	NO
Dam or reservoir construction or contracting work on such structures including cofferdams and caisson buildings	YES	NO
Delivery of construction aggregate	YES	NO
Demolition work, other than soft demo inside of buildings for remodeling purposes and demolition of one-story structures in preparation of construction sites	YES	NO
Dredging operations	YES	NO
Drilling operations	YES	NO
Earth retaining wall operations, other than non-load bearing landscape walls that are a maximum 4 feet in height	YES	NO
Electrical high voltage, electrical power lines and hydroelectric and transformer	YES	NO
Environmental remediation/abatement/impairment operations of any type	YES	NO
Equipment rental with operators to others	YES	NO
Equipment rental without operators to others	YES	NO
Fire sprinklers	YES	NO
Fire suppression systems	YES	NO
Flood control prevention work	YES	NO
Gas mains	YES	NO
Hauling underground storage tanks or contaminated soil or cutting/breakdown of the tanks	YES	NO
Highway or freeway construction work or services	YES	NO
Landfill or refuse operations, construction, or closure operations – past, present, or future	YES	NO
Levee or breakwater construction	YES	NO
Local trucking for hire (other than sand/gravel hauling <25% of total shipments)	YES	NO

Millwright work	YES	NO
On-site treatment of contaminated soils	YES	NO
Petrochemical, oil/gas, or oil field refining work, any operations conducted in oil field	YES	NO
Pile driving of any kind	YES	NO
Power line construction / work	YES	NO
Protective service work, such as security guards or alarm servicing or repair	YES	NO
Railroads, subway, or street railway construction work	YES	NO
Refineries	YES	NO
Snow plowing operations	YES	NO
Tank construction, removal, erection, cleaning, or repair (other than septic tank work) or underground storage tank removal including removal of contaminated soil	YES	NO
Telephone, telegraph, or cable line construction involving overhead exposures or work at heights	YES	NO
Traffic control services provided to 3rd parties	YES	NO
Traffic signs or signals	YES	NO
Tunneling work of any kind	YES	NO
Underpinning buildings	YES	NO
Waste treatment work, other than septic tank removal	YES	NO
Work from barges or any other types of floatation vessels	YES	NO
Work performed on 3 stories or higher	YES	NO

BASED ON ANSWERS PROVIDED - ADDITIONAL SUPPLEMENTAL QUESTIONNAIRES MAY APPLY

A full NIP supplemental will need to be completed if coverage is bound.

Provide details to any questions answered YES above

Producer's Signature _____

Date _____

Applicant's Signature _____

Date _____